

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Raja Achari et al.

Group Art Unit: Not yet Assigned

Examiner: Not yet Assigned

For: Nasal Delivery of Apomorphine

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; and

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a

☒ Utility Patent ☐ Design Patent

is sought on the invention, whose title appears above, the specification of which:

☒ is attached hereto.
☐ was filed on _____ as Serial No. _____ .
☐ said application having been amended on _____ .

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to be material to the patentability of this application in accordance with 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a-d) of any **foreign application(s)** for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of

201210-06229001

DOCKET NO. NPCI-0294/719-127CON3- 2 -

PATENT

any application on which priority is claimed:

Priority Claimed (If X'd)	Country	Serial Number	Date Filed
<input type="checkbox"/>	_____	_____	_____
<input type="checkbox"/>	_____	_____	_____
<input type="checkbox"/>	_____	_____	_____
<input type="checkbox"/>	_____	_____	_____

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to be material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Serial Number	Date Filed	Patented/Pending/Abandoned
<u>09/334,304</u>	<u>June 16, 1999</u>	<u>Pending</u>
_____	_____	_____

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Serial Number	Date Filed
<u>60/096,545</u>	<u>August 14, 1998</u>
_____	_____

20100629001

I hereby appoint the following persons of the firm of **WOODCOCK WASHBURN LLP**,
One Liberty Place - 46th Floor, Philadelphia, Pennsylvania 19103 as attorney(s) and/or
agent(s) to prosecute this application and to transact all business in the Patent and Trademark
Office connected therewith:

Jeffrey J. King Reg. No. 38,515
_____ Reg. No. _____

Address all telephone calls and correspondence to:

Jeffrey J. King
WOODCOCK WASHBURN LLP
One Liberty Place - 46th Floor
Philadelphia PA 19103
Telephone No.: (215) 568-3100
Facsimile No.: (215) 568-3439

I hereby declare that all statements made herein of my own knowledge are true and that all
statements made on information and belief are believed to be true; and further that these
statements were made with the knowledge that willful false statements and the like so made
are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the
United States Code and that such willful false statements may jeopardize the validity of the
application or any patent issued thereon.

Name: Raja G. Achari	
Mailing Address: 52 Indian Run Millington, New Jersey 07946	Signature _____ Date of Signature: _____
City/State of Actual Residence: Millington, New Jersey	Citizenship: <u>United States of America</u>

Name: Shamim Ahmed	
Mailing Address: 64 Coventry Lane Central Islip, New York 11722	Signature _____ Date of Signature: _____
City/State of Actual Residence: Central Islip, New York	Citizenship: <u>Bangladesh</u>

Name: Charanjit R. Behl	
Mailing Address: 658 Veterans Memorial Highway, Apt. 1-A Hauppauge, New York 11788	Signature _____ Date of Signature: _____
City/State of Actual Residence: Hauppauge, New York	Citizenship: <u>United States of America</u>

Name: Jorge C. Demeireles	
Mailing Address: 33 Renee Road Syosset, New York 11791	Signature _____ Date of Signature: _____
City/State of Actual Residence: Syosset, New York	Citizenship: <u>Portugal</u>

2025-01-06 10:22:50

Name: Tianquing Liu	
Mailing Address: 35 Coventry Lane Central Islip, New York 11722	Signature
City/State of Actual Residence: Central Islip, New York	Date of Signature: _____
	Citizenship: <u>Republic of China</u>

Name: Steven C. Quay	
Mailing Address: 23632 Highway 99, #F-454 Edmonds, Washington 98026	Signature
City/State of Actual Residence: Edmonds, Washington	Date of Signature: _____
	Citizenship: <u>United States of America</u>

Name: Anthony P. Sileno	
Mailing Address: 10 Highway Blvd. Brookhaven Hamlet, New York 11719	Signature
City/State of Actual Residence: Brookhaven Hamlet, New York	Date of Signature: _____
	Citizenship: <u>United States of America</u>

20162290.013102

DOCKET NO. NPCI-0123 [719-127]

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Achari et al.

Serial No.: 09/334,304

Group Art Unit: 1617

Filing Date: June 16, 1999

Examiner: J. Kim

For: NASAL DELIVERY OF APOMORPHINE

DATE OF DEPOSIT: 7/18/01
I HEREBY CERTIFY THAT THIS PAPER IS BEING
DEPOSITED WITH THE UNITED STATES POSTAL
SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON
THE DATE INDICATED ABOVE AND IS ADDRESSED TO
THE BOX DSD, COMMISSIONER FOR PATENTS AND
TRADEMARKS, WASHINGTON, DC 20231.

TYPED NAME: JEFFREY J. KING
REGISTRATION NO.: 38,515

Box DSD
Commissioner for Patents and Trademarks
Washington DC 20231

**POWER OF ATTORNEY WITH REVOCATION
AND STATEMENT UNDER 37 CFR 3.73(b)**

Revoking any and all powers of attorney heretofore given in the matter of the above-entitled application, the undersigned, assignee of the entire interest in the above-identified application, hereby appoints the attorneys listed below of the firm of WOODCOCK WASHBURN KURTZ MACKIEWICZ & NORRIS LLP, One Liberty Place - 46th Floor, Philadelphia, Pennsylvania, 19103, as attorneys for applicant, with full power of substitution

201310 0622900T



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

AUGUST 27, 1999

PTAS

HOFFMANN & BARON, LLP
GERALD T. BODNER, ESQ.
6900 JERICHO TURNPIKE
SYOSSET, NEW YORK 11791



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SEP - 1999

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/16/1999

REEL/FRAME: 010050/0967
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
ACHARI, RAJA G.

DOC DATE: 06/15/1999

ASSIGNOR:
AHMED, SHAMIM

DOC DATE: 06/15/1999

ASSIGNOR:
BEHL, CHARANJIT R.

DOC DATE: 06/15/1999

ASSIGNOR:
DEMEIRELES, JORGE C.

DOC DATE: 06/15/1999

ASSIGNOR:
LIU, TIANQUING

DOC DATE: 06/15/1999

ASSIGNOR:
ROMEO, VINCENT D.

DOC DATE: 06/15/1999

ASSIGNOR:
SILENO, ANTHONY P.

DOC DATE: 06/15/1999

201E TO 06229001

010050/0967 PAGE 2

ASSIGNEE:
NASTECH PHARMACEUTICAL COMPANY,
INC.
45 DAVIDS DRIVE
HAUPPAUGE, NEW YORK 11788

SERIAL NUMBER: 09334304
PATENT NUMBER:

FILING DATE: 06/16/1999
ISSUE DATE:

TONYA LEE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

201610-06229001

06-29-1999

DEPARTMENT OF COMMERCE
Patent and Trademark Office

PATENT:



To The Honorable Commissioner of Patents and Trademarks: Please

101079353

copies or copy thereof.

1. Name of conveying party(ies):

- 1) Raja G. Achari
2) Shamim Ahmed
3) Charanjit R. Behl
4) Jorge C. deMeireles
- 5) Tianqing Liu
6) Vincent D. Romeo
7) Anthony P. Sileno

Additional names(s) of conveying party(ies) ___ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment
___ Merger
___ Security Agreement
___ Change of Name
___ Other

Execution Date: June 15, 1999

2. Name and address of receiving party(ies):

Name: Natestech Pharmaceutical Company, Inc.

Internal Address: _____

Street Address: 45 Davids Drive

City: Hauppauge State: NY Zip: 11788

Additional names(s) & address(es)? ___ Yes ___ No

Application number(s) or registration numbers:

If this document is being filed together with a new application, the execution date of the application is: June 15, 1999

A. Patent Application No.(s)

B. Patent No.(s):

Additional numbers attached: ___ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gerald T. Bodner, Esq.

Internal Address: Hoffmann & Baron, LLP

6900 Jericho Turnpike

Syosset, New York 11791

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41): \$ 40.00

☒ Enclosed (w/ appln. filing fee) - Any excess or insufficiency should be credited or debited to deposit account.

___ Authorized to be charged to deposit account.

8. Deposit account no: 08-2461

DO NOT USE THIS SPACE

9. Statement and signature,

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Samir R. Patel

Name of Person Signing

Signature

June 16, 1999

Date

Total number of pages including cover sheet, attachments, and document: _____

06/25/1999 SCARNICH 00000006 09334304

04 FC:581

40.00 DP

For: ☒ U.S. and/or ☒ Foreign Rights
 For: ☒ U.S. Application;
☐ U.S. Provisional Application;
☐ U.S. Patent; or
☐ PCT Application
 By: ☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Raja G. Achari
52 Indian Run
Millington, NJ 07946
U.S.A.

Shamim Ahmed
64 Coventry Lane
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Bangladesh

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658 Veterans Memorial Highway
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U.S.A.

Anthony P. Sileno
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Brookhaven Hamlet, NY 11719
U.S.A.

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

Nastech Pharmaceutical Company, Inc.
 (Type or print name of ASSIGNEE)
45 Davids Drive
 Address
Hauppauge, New York 11788
U.S.A.
 Nationality

2025-06-22 09:00

and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith.

Richard E. Kurtz	Registration No. 19,263	Paul K. Legaard	Registration No. 38,534
Dale M. Heist	Registration No. 28,425	Maureen S. Gibbons	Registration No. 44,121
John W. Caldwell	Registration No. 28,937	Steven H. Meyer	Registration No. 37,189
Gary H. Levin	Registration No. 28,734	Paul B. Milcetic	Registration No. 46,261
Steven J. Rocci	Registration No. 30,489	Joseph R. Condo	Registration No. 42,431
Dianne B. Elderkin	Registration No. 28,598	Michael K. Jones	Registration No. 41,100
John P. Donohue, Jr.	Registration No. 29,916	Frank T. Carroll	Registration No. 42,392
Henrik D. Parker	Registration No. 31,863	Mark J. Rosen	Registration No. 39,822
Suzanne E. Miller	Registration No. 32,279	Eric H. Vance	Registration No. 47,151
Lynn B. Morreale	Registration No. 32,842	Peter M. Ullman	Registration No. 43,963
Mark DeLuca	Registration No. 33,229	Thomas E. Watson	Registration No. 43,243
Joseph Lucci	Registration No. 33,307	Richard B. LeBlanc	Registration No. 39,495
Michael P. Dunnam	Registration No. 32,611	Joseph D. Rossi	Registration No. 47,038
Michael D. Stein	Registration No. 34,734	Rosaleen P. Morris	Registration No. 47,321
Albert J. Marcellino	Registration No. 34,664	George J. Awad	Registration No. 46,528
David R. Bailey	Registration No. 35,057	Steven D. Maslowski	Registration No. 46,905
Doreen Yatko Trujillo	Registration No. 35,719	S. Maurice Valla	Registration No. 43,966
Barbara L. Mullin	Registration No. 38,250	Vincent J. Roccia	Registration No. 43,887
Kevin M. Flannery	Registration No. 35,871	Robin S. Quartin	Registration No. 45,028
Michael P. Straher	Registration No. 38,325	Christine A. Goddard	Registration No. 46,731
David A. Cherry	Registration No. 35,099	Gregory L. Hillyer	Registration No. 44,154
Terence P. Strobaugh	Registration No. 25,460	Patrick J. Farley	Registration No. 42,524
Lynn A. Malinoski	Registration No. 38,788	Susan C. Murphy	Registration No. 46,221
Steven B. Samuels	Registration No. 37,711	David L. Marcus	Registration No. 46,897
Michael J. Swope	Registration No. 38,041	Christine A. Goddard	Registration No. 46,731
Michael J. Bonella	Registration No. 41,628	Ellen M. Klann	Registration No. 44,836
Harold H. Fullmer	Registration No. 42,560	Andrew J. Hagerty	Registration No. 44,141
John E. McGlynn	Registration No. 42,863	Emma R. Dailey	Registration No. P48,491
Jonathan M. Waldman	Registration No. 40,861	Stephen C. Timmins	Registration No. P48,481
Chad Ziegler	Registration No. 44,273	Erich M. Falke	Registration No. P49,049
Gwilym J.O. Attwell	Registration No. 45,449	Jane Smith Ingelese	Registration No. P48,444
David N. Farsiou	Registration No. 44,104	Janet E. Reed	Registration No. 36,252
		Scott E. Scioli	Registration No. 47,930
		Lawrence A. Aaronson	Registration No. 38,369
		John A. Harrelson	Registration No. 42,637
		Jeffrey J. King	Registration No. 38,515

2025 FEB 06 22 5001

Send all future correspondence and address all telephone calls to:

Jeffrey J. King, Esq.
WOODCOCK WASHBURN KURTZ
MACKIEWICZ & NORRIS LLP
One Liberty Place - 46th Floor
Philadelphia PA 19103
Telephone: (215) 568-3100

STATEMENT UNDER 37 C.F.R. §3.73(b)

Nastech Pharmaceutical Company, Inc., a corporation located in the State of New York, states that it is the assignee of the entire right, title, and interest in the patent application identified above by virtue of an Assignment from the inventors of the patent application identified above. The assignment was recorded in the Patent and Trademark Office on June 16, 1999 at Reel 010050, Frames 0967-69 (copy attached).

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.


Signature

Name: DILIP WDRAH

Title: Chief Scientific Officer

Date: 15 Sept 01

20100622001-013102

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

NASAL DELIVERY OF APOMORPHINE

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☒ U.S. patent application executed on even date herewith.
(b) ☐ U.S. patent application executed on _____.
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.
 ☐ Express Mail label No.: _____, mailed: _____
 ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d) ☐ U.S. application Serial No. 0 / ____ filed on _____
(e) ☐ International application No. PCT/____/_____
(f) ☐ U.S. Patent No. _____ issued _____
 ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to the ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date: X 6-15-99

Date of signing

X [Signature]

Raja G. Achari

Date: X 6-16-99

Date of signing

X [Signature]

Shamim Ahmed

Date: X 6-15-99

Date of signing

X [Signature]

Charanjit R. Behl

Date: X 6/15/99

Date of signing

X [Signature]

Jorge C. deMeireles

Date: X 6/15/99

Date of signing

X [Signature]

Tianqing Liu

Date: X 6/15/99

Date of signing

X [Signature]

Vincent D. Romeo

Date: X 6/15/99

Date of signing

X [Signature]

Anthony P. Sileno

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

[] Notarization or Legalization Page Added.